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Sheet 1 of 1

Complete if Known

Application Number 09/929,735
Filing Date August 13, 2001
First Named Inventor Stephen B. Slutsky
Art Unit 3625
Examiner Name Garg, Yogesh C
Attorney Docket Number 60021-375702

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number Number -Kind Code ² (if known) | Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|--|--------------------------|--|
| <u>9</u> | <u>1</u> | <u>US-6,430,542</u> | <u>08-2002</u> | <u>Moran, William J.</u> |
| | <u>2</u> | <u>US-5,918,217</u> | <u>06-1999</u> | <u>Maggioncalda et al.</u> |
| | <u>3</u> | <u>US-5,819,263</u> | <u>10-1998</u> | <u>Bromley et al.</u> |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ -Number*-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁴ |
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|-------------------|-----------------------|--|----------------|
| <u>9</u> | <u>1</u> | <u>"Personal financial software", The CPA Journal, New York, September 1999, Vol.69, Iss.9; pg 40, 7 pgs, Proquest, describes numbers of software packages on the market for providing automated coaching for a financial modeling.</u> | |
| | <u>2</u> | | |

Examiner Signature

GARG

Date Considered

6/26/05

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